

# Alibaba.com Gold Plus Supplier



## Assessment Report

*Presented to*

**Shenyang Head Science and Technology Corporation Limited**

**沈阳海德科技有限公司**

Gold Supplier & Assessed Company Relationship:	<input checked="" type="checkbox"/> Self-owned <input type="checkbox"/> Wholly Owned <input type="checkbox"/> Shareholder/Partner <input type="checkbox"/> Cooperation Partner <input type="checkbox"/> Same Corporation
Company Address	Gate 1, No. 110-3, Hongrun Road, Yuhong District, Shenyang City, Liaoning Province, China
City / Country:	Shenyang / China
Consigner of Assessment:	Alibaba
Gold Supplier Member ID:	cnhead21
Gold Supplier Company Name:	Shenyang Head Science and Technology Corporation Limited
Contact Person:	Vera Yu
Phone Number:	0086-18132718707
Fax Number:	N/A
Email:	Vera@hdwaterjet.com
Website Address (URL):	<a href="http://head21.en.alibaba.com">http://head21.en.alibaba.com</a>

*Service Provided by SGS*

*Report No.: 22684739\_T*





Report Number:	22684739_T	Assessment Type	Trade Assessment
Date of Assessment:	13/Dec./2021	Report Date:	13/Dec./2021
Assessor's Name:	Andy Kang	Validity Period:	14/Dec./2021 -- 13/Dec./2022
Reviewed By:	Sam Wang	Online Verification:	www.sgs.com/ecv

**Important Notes:**

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CONFIDENTIAL		All Rights Reserved			Page No: 4 of 21



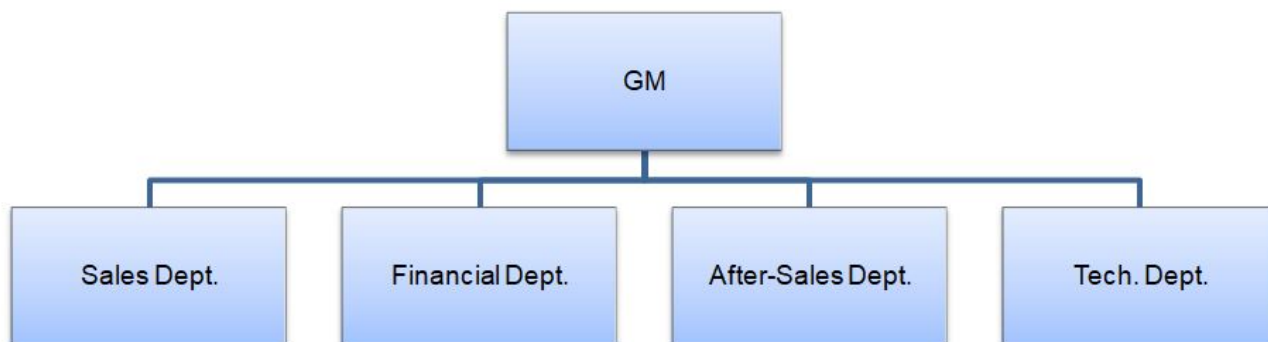
## Section 1: Company Overview

Company Overview			
1.1 Legal Validity			
Does the company have a valid business license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Business License Number:	912101066965288731
Year Established:	24/Dec./2009	Validity Period:	24/Dec./2009 -- 23/Dec./2024
Export Experience:	11	Industry Experience:	12
Registered Address:	412, No. 11-11, Huaxiang South Road, Tiexi District, Shenyang City, Liaoning Province, China		
Company Address:	Gate 1, No. 110-3, Hongrun Road, Yuhong District, Shenyang City, Liaoning Province, China		
Is it listed company?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Company Stock Code	N/A
Annual review conducted by the Industrial & Commercial Bureau?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Reviewed By:	Shenyang Tiexi Industrial & Commercial Bureau
Registered Capital:	RMB 9,000,000		
Corporate Representative:	Mr. Yu Wu		
Industry:	Machinery		
Business Type:	<input type="checkbox"/> Manufacturer <input checked="" type="checkbox"/> Trading Company <input type="checkbox"/> Manufacturer & Trading Company		
Type of Ownership:	<input checked="" type="checkbox"/> Private Owner <input type="checkbox"/> Public Company <input type="checkbox"/> Joint Venture <input type="checkbox"/> Stated Owned <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other		
Products /Service:	Water Jet Cutting Machine		
1.2 Company Building Information			
Certification Type: <input type="checkbox"/> Land Certification <input type="checkbox"/> Real Estate Certification <input type="checkbox"/> Lease Agreement <input checked="" type="checkbox"/> Factory Officer Claimed			
Total Building Size: 200 m <sup>2</sup>			
Office Size: 200 m <sup>2</sup>			

## Section 2: Human Resources

### Human Resources

#### 2.1 Company Chart



#### 2.2 Employee Headcount

Department Name	Full Time Employee(s)	Part-Time Employee(s)	Total
GM	1	0	1
Sales Dept.	19	0	19
Financial Dept.	3	0	3
After-Sales Dept.	5	0	5
Tech. Dept.	5	0	5
<b>Total Number:</b>	33	0	33

#### 2.3 Management

Department	Name	Title	Education	Year(s) in Current Company
GM	Mr. Yu Wu	General Manager	University graduate	12

## Section 3: Current Export Situation

### Current Export Situation

There is/are 13 foreign trading employee(s) in the company.

Working Experience	Headcount	Accepted Language	Listening & Speaking	Reading & Writing
Over 30 Years	0	English	Yes	Yes
21-30Years	0	Russian	Yes	Yes
11-20 Years	0	N/A	N/A	N/A
6-10 Years	6	N/A	N/A	N/A
2-5 Years	3	N/A	N/A	N/A
Less than 2 years	4	N/A	N/A	N/A

Does the company have a valid export license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Export License Registration No.:	02167669
Total revenue (Previous year, USD):	Confidential
Total export revenue (Previous year, USD):	Confidential
Estimated export revenue (Current year, USD):	Confidential
Trade Agents Employed Overseas:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Nearest Port:	Dalian Port
Accepted Payment Terms	<input checked="" type="checkbox"/> FOB <input checked="" type="checkbox"/> CIF <input checked="" type="checkbox"/> EXW <input checked="" type="checkbox"/> CFR
Accepted Payment Type:	<input checked="" type="checkbox"/> L/C <input checked="" type="checkbox"/> T/T <input type="checkbox"/> Credit Card <input type="checkbox"/> Cash <input checked="" type="checkbox"/> West Union <input type="checkbox"/> Money Gram <input type="checkbox"/> Paypal <input type="checkbox"/> Moneybooker
Average lead time from product order confirmation to production delivery (products exiting the factory):	
Product Category	Num Unit
Water Jet Cutting Machine	30 Days
Average Sampling Time	
Product Category	Lead Time
0	0
The Shortest Sampling Time	
Product Category	Shortest Lead Time
0	0

## Section 4: Export Business Capacity

Export Business Capacity			
4.1 Market Distribution (Previous 12 Months)			
Market	Main Product(s)	Revenue (USD)	Total Revenue (%)
North America	Water Jet Cutting Machine	Confidential	20
South America	Water Jet Cutting Machine	Confidential	7
Eastern Europe	Water Jet Cutting Machine	Confidential	9
Southeast Asia	Water Jet Cutting Machine	Confidential	2
Africa	Water Jet Cutting Machine	Confidential	2
Oceania	Water Jet Cutting Machine	Confidential	18
Mid East	Water Jet Cutting Machine	Confidential	2
Eastern Asia	Water Jet Cutting Machine	Confidential	2
Western Europe	Water Jet Cutting Machine	Confidential	11
Central America	Water Jet Cutting Machine	Confidential	2
Northern Europe	Water Jet Cutting Machine	Confidential	11
Southern Europe	Water Jet Cutting Machine	Confidential	10
South Asia	Water Jet Cutting Machine	Confidential	2
Domestic Market	Water Jet Cutting Machine	Confidential	2
4.2 Main Clients			
Client Name	Main Product(s)	Total Revenue (%)	
Confidential	Confidential	Confidential	



## Section 5: Quality Assurance

Quality Assurance				
5.1.1 Quality Management System Certification				
Certification	Certified By	Certificate No.	Business Scope	Validity Date
N/A	N/A	N/A	N/A	N/A
5.1.2 Product Certification				
Certification	Certified By	Certificate No.	Product Name & Model No.	Validity Date
Certificate of Compliance	ECM	0H210201.SHS0 Q63	Water Jet Cutting Machine & HEAD0606BB/HEAD1010BB/ HEAD1020BB	01/Feb./2021 -- 31/Jan./2026
5.3 Supplier Management				
Item	Content	Observations /Comments		
5.3.1	Does the company have a supplier assessment procedure?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
5.3.2	Does the company have an updated list of approved suppliers?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
5.3.3	Has the company established and implemented a standard procedure for purchasing contract review and approval?	<input checked="" type="checkbox"/> Yes, with written standard procedures <input type="checkbox"/> Yes, with written procedure but lack of consistent standard <input type="checkbox"/> No		
5.3.4	Does the company keep its supplier assessment reports?	<input type="checkbox"/> Yes, assessment reports are available for more than 3 years <input type="checkbox"/> Yes, assessment reports are available for the last 1-3 years <input checked="" type="checkbox"/> Yes, assessment reports are available for the previous 12 months <input type="checkbox"/> No		
5.3.5	Are the company's purchasing documents sufficient to ensure product safety control and their customers' requirements?	<input checked="" type="checkbox"/> Yes, the purchasing document includes all the information required <input type="checkbox"/> Yes, however the purchasing document includes incomplete information <input type="checkbox"/> No		
5.3.6	Is there a procedure to conduct random product inspections after final packaging?	<input type="checkbox"/> Yes, with clear standard and written inspection records <input type="checkbox"/> Yes, with inspection records but no procedures <input type="checkbox"/> Yes, with procedures but no inspection records <input checked="" type="checkbox"/> No, inspections are not necessary		
5.4 After Sales Service				
Item	Content	Observations /Comments		

5.4.1	Is customer feedback, including complaints, clearly recorded and maintained?	<input checked="" type="checkbox"/> Yes, with a standard feedback form and records <input type="checkbox"/> Yes, with a standard feedback form but no records <input type="checkbox"/> Yes, with records but no standard feedback form <input type="checkbox"/> No
5.4.2	Are there any clear procedures for handling customer complaints?	<input checked="" type="checkbox"/> Yes, with clear procedures and written records <input type="checkbox"/> Yes, with clear procedures but no written records <input type="checkbox"/> Yes, with written records but no clear procedures <input type="checkbox"/> No
5.4.3	Is there a closed-loop corrective action system in place?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.4.4	Can finished/package products be traced by lot identification to the appropriate raw material test reports?	<input checked="" type="checkbox"/> Yes, with procedures to trace raw materials <input type="checkbox"/> Yes, main raw material can be traced <input type="checkbox"/> No, only the production date can be traced <input type="checkbox"/> No
5.4.5	Is there a product alert and recall procedure?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
5.4.6	Do you have a complete after sales service capability?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>5.5 Overseas After Sales Service</b>		
Item	Content	Observations /Comments
5.5.1	Do you have an overseas onsite service center?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5.5.3	Is it possible to provide expatriate engineer services?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
5.5.4	If possible provide expatriate engineer, what kind of onsite after-sales services are included?	<input checked="" type="checkbox"/> Debugging <input checked="" type="checkbox"/> Maintain <input checked="" type="checkbox"/> Repair <input type="checkbox"/> Other

## Section 6: R & D Capacity

R&D Capacity					
<b>6.1 Current Situation</b>					
There is/are <u>5</u> R&D engineer(s) in the company.					
Education Level	Headcount		Work Experience	Headcount	
Doctorate	0		Over 30 Years	0	
Post-Graduate	0		21-30 Years	0	
Graduate	5		11-20 Years	0	
Junior College	0		6-10 Years	4	
Technical School	0		2-5 Years	0	
High School	0		Less than 2 years	1	
<b>Patent Situation</b>					
Patent No.	The Name of the Patent	The Patent Type	Available Date		
ZL 2011 2 0115666. 3	Slope compensation unit of water cutting machine	The patent for utility model	20/Apr./2011 – 19/Apr./2021		
ZL 2018 2 0795337. X	Gear rack gantry type ultra-high pressure water cutting equipment	The patent for utility model	25/May/2018 – 24/May/2028		
ZL 2018 2 1087713. 6	A ultrasonic vibrating diamond rope saw cutting equipment	The patent for utility model	10/Jul./2018 – 09/Jul./2028		
ZL 2018 2 0788634. 1	Cyclone desanding device with easy cleaning and movable lifting	The patent for utility model	25/May/2018 – 24/May/2028		
ZL 2020 2 3014824. 4	High pressure water jet pressure generator for deburring	The patent for utility model	03/Sep./2021 – 02/Sep./2031		
ZL 2020 2 3022390. 2	High pressure water jet device for deburring	The patent for utility model	03/Sep./2021 – 02/Sep./2031		
<b>Brand Situation</b>					
Registration/ap plication No.	Brand Name	For Approval to Use Goods	Validity Date	Ref.	
10451774	Refer to the Photo	Category: 7	07/May/2013 -- 06/May/2023	Photo in Section 8 (Trademark Photos)	
48823076	Refer to the Photo	Category: 7	07/Jun./2021 -- 06/Jun./2031	Photo in Section 8 (Trademark Photos)	
<b>The Average Time For New Products Launched</b>					
Product Category		Lead Time			
Water Jet Cutting Machine		4 Months			
<b>The Shortest Time For A New Item Launched</b>					
Product Category		Shortest Lead Time			
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Water Jet Cutting Machine	4 Months	
Does the company provide ODM service for others?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Are there relevant design input/output, review, and verification documents available for the assessment company?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Based on inspection, are R & D employees equipped with adequate specialized equipment?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If yes, please list all key equipment used:	N/A	
Do R& D employees use any specific software for designing new products?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, please list the main software used:	AutoCAD, Solidworks, IGEMS	
Please list all certifications and/or qualifications of the R & D department:	N/A	
Has the company established standard design procedures for new products?	<input checked="" type="checkbox"/> Yes, with clear written instructions <input type="checkbox"/> Yes, without written instructions <input type="checkbox"/> No	
Have the designed products been internal verified or validated?	<input checked="" type="checkbox"/> Yes, with clear written records <input type="checkbox"/> Yes, only part written records <input type="checkbox"/> Yes, without written records <input type="checkbox"/> No	
Have the designed products been tested by a third-party inspection body?	<input type="checkbox"/> Yes, all designed products have been tested <input checked="" type="checkbox"/> Yes, only part of designed products have been tested <input type="checkbox"/> No	
Are the designed products confirmed by the customers?	<input checked="" type="checkbox"/> Yes, all designed products have been confirmed <input type="checkbox"/> Yes, part of designed products have been confirmed according to client's requirements <input type="checkbox"/> No	
Does the company has qualification requirements for designers?	<input type="checkbox"/> Yes, with written job description <input checked="" type="checkbox"/> Yes, without written job description <input type="checkbox"/> No, but at least two years design experience is needed <input type="checkbox"/> No	
Are the designers' qualifications recognized by the company?	<input type="checkbox"/> Yes, with written records <input checked="" type="checkbox"/> Yes, without written records <input type="checkbox"/> No	
What level of design services are provided?	<input type="checkbox"/> Only add logo/change color/material <input type="checkbox"/> Sample processing <input type="checkbox"/> Graphic processing <input checked="" type="checkbox"/> Create an entirely new product	
New Products Launched quantity for each year		
Product Category	Num	Unit



Water Jet Cutting Machine	5	Sets
6.2 R&D Real Case Description		
Customer's Name	Confidential	
Customer's Location	Confidential	
Customer's Industry:	Confidential	
Order's Requirement Description:	Confidential	
6.3 Design Process		
Process 1	Process 2	Process 3
Confidential	N/A	N/A
Description: Confidential	N/A	N/A
6.4 Design Devices		
Device 1	Device 2	Device 3
N/A	N/A	N/A



## Section 7: Company Development / Expansion Plans

Company Development / Expansion Plans		
Item	Company Development Action	Timeframe
1	The company will develop more overseas market.	2022
2	The company will develop new product.	2022



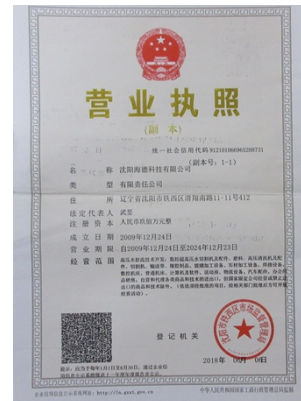
## Section 8: Certification & Photos

### Certification & Photos

#### Certification & Photos -- Business License (Original)



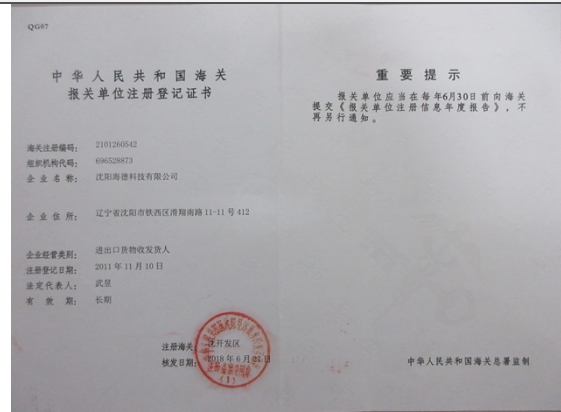
#### Certification & Photos -- Business License (Duplicate) with Certificate / Records of Annual Inspection



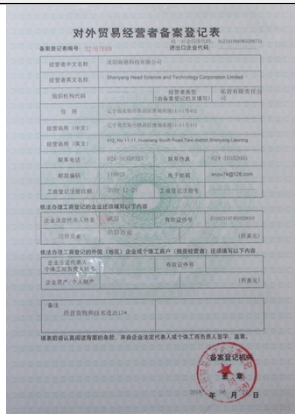
#### Certification & Photos -- Permit for Opening Bank Account



#### Certification & Photos -- Custom Clearance Registration Form



#### Certification & Photos -- Import and Export Enterprise Registration



N/A

N/A



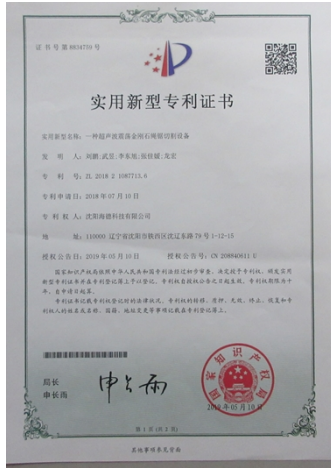


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## Patent Photos

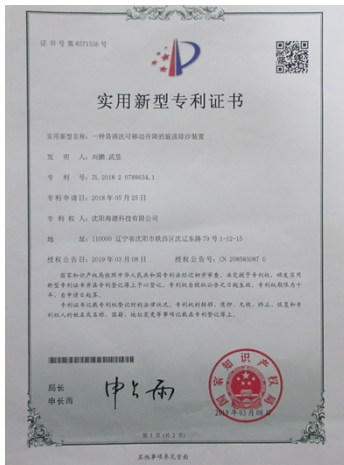
### Certification & Photos --The patent for utility model



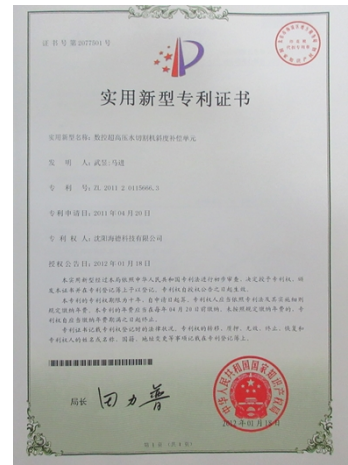
### Certification & Photos -- The patent for utility model



### Certification & Photos --The patent for utility model



### Certification & Photos -- The patent for utility model



### Certification & Photos --The patent for utility model

### Certification & Photos -- The patent for utility model





### Product Certification Photos

## Certification & Photos -- Certificate of Compliance



**N/A**

**N/A**

## Trademark Photos

### Certification & Photos -- Trademark



### Certification & Photos -- Trademark



## Section 9: Company and Product Samples

## Company and Product Samples

## Company Gate



## Office



## Meeting Room



## Meeting Room



## Product Sample



## Product Sample



## Section 10: Competitive Advantages

<b>10.1 Product Group Capacity</b>		
<b>10.1.1 Products Sold (Within 12 Months)</b>		
<b>Products Name</b>	<b>Quantity</b>	<b>Revenue (USD)</b>
Water Jet Cutting Machine	84 Sets	Confidential
<b>10.1.2 Suppliers Cooperated With (Within 12 Months)</b>		
No. of cooperation suppliers (total)	1	
No. of suppliers (which cooperated over 2 times)	1	
No. of provinces which cooperation suppliers belong to	1 (Liaoning)	
Would the company like to provide design solution service for integration project?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, these projects include	Water Jet Cutting Machine	
Would the company like to provide a total solution for purchasing?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> Part, _____ <input type="checkbox"/> No	
If yes, please describe it	Water Jet Cutting Machine	
<b>10.1.3 Real Case Description:</b>		
Customer Name	Confidential	
Customer Country	Confidential	
Customer Region	Confidential	
Products Category	Confidential	
Order Value (USD)	Confidential	
Order Processing Process	Confidential	
Customer's Feedback	Confidential	
<b>10.2 Real Case for Lower MOQ &amp; Lead Time</b>		
<b>Products Name</b>	<b>MOQ (Within 12 Months)</b>	<b>Lead Time</b>
Water Jet Cutting Machine	1 Set	20 Days
<b>MOQ for each item</b>	<input checked="" type="checkbox"/> less 10 <input type="checkbox"/> 10-20 <input type="checkbox"/> 20-50 <input type="checkbox"/> 50-100 <input type="checkbox"/> 100-300 <input type="checkbox"/> above 300	
<b>10.3 Real Case for Large Contract &amp; Lead Time</b>		
<b>Products Name</b>	<b>Order (Within 12 Months)</b>	<b>Lead Time</b>
Water Jet Cutting Machine	4 Sets	60 Days
<b>10.4 Overseas After Sales Service Capacity</b>		



<b>10.4.2</b> If yes, what onsite after-sales services are included		Location	Evidence- provided	Self-description
Equipment -installation, maintenance and other services	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A	N/A	N/A
Technical advice	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A	N/A	N/A
Personnel training	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A	N/A	N/A
Other		N/A	N/A	N/A
<b>10.4.3</b> Average response time	1 Hour			
<b>10.5 After-sales service capacity</b>				
<b>10.5.1</b> The Average Guarantee Time				
Product Category	Guarantee Time			
Water Jet Cutting Machine	1 Year			
<b>10.5.2</b> The Longest Guarantee Time				
Product Category	Guarantee Time			
Water Jet Cutting Machine	1 Year			
<b>10.5.3</b> Does the company accept small order?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>Experience with large-scale procurement contracts</b>				
Have you had a procurement contract with a Fortune 500 company?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>Overseas service center</b>				
Do you have an overseas onsite service center?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
The country/region of your overseas service center	N/A			
<b>Overseas showroom</b>				
Do you have an overseas showroom?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
The country/region of your overseas showroom	N/A			
<b>Offline trade show</b>				
<b>Have you participated in offline trade shows?</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Tradeshow name	N/A	Official images from the trade show		
Date attended	N/A	N/A		



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Host Country/Region	N/A	
<b>Centralized procurement</b>		
In the past 12 months, have you sold products from at least three sub-categories?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>Overseas warehouse</b>		
Do you have an overseas warehouse?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
The country/region of your overseas warehouse	N/A	

-- End of Report --